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| <b>INFORMATION DISCLOSURE<br/>STATEMENT</b><br><br>PTO-1449                   |   |             | <b>ATTY. DOCKET NO.:</b><br>39780-2730P1C14 |              | <b>SERIAL NO.:</b><br>09/990,436 |                          |                          |
|   |   |             | <b>APPLICANT :</b> Avi Ashkenazi, et al.    |              |                                  |                          |                          |
|   |   |             | <b>FILING DATE:</b> November 14, 2001       |              | <b>GROUP:</b> 1646               |                          |                          |
| <b>U.S. PATENT DOCUMENTS</b>  |   |             |   |              |                                  |                          |                          |
| <b>EXAMINER'S<br/>INITIALS</b>  | <b>PATENT NO.</b>   | <b>DATE</b> | <b>NAME</b>                                 | <b>CLASS</b> | <b>SUBCLASS</b>                  | <b>FILING DATE</b>       |                          |
|   |   |             |   |              |                                  |                          |                          |
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| <b>FOREIGN PATENT DOCUMENTS</b>   |   |             |   |              |                                  |                          |                          |
| <b>EXAMINER'S<br/>INITIALS</b>  | <b>PATENT NO.</b>   | <b>DATE</b> | <b>COUNTRY</b>                              | <b>CLASS</b> | <b>SUBCLASS</b>                  | <b>TRANSLATION</b>       |                          |
|   |   |             |   |              |                                  | <b>YES</b>               | <b>NO</b>                |
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| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b> |   |             |   |              |                                  |                          |                          |
| <i>EEK</i>  | Dayhoff, Accession No.: P_AAY66684, WO 9963088-A2, Pub Date: December 9, 1999, Baker, K. et al.       |             |   |              |                                  |                          |                          |
| ↓   | Dayhoff, Accession No.: P_AAY93694, WO 200037640-A2, Pub Date: June 29, 2000, Botstein, D. et al.     |             |   |              |                                  |                          |                          |
|   | Dayhoff, Accession No.: P_AAY06487, WO 9935170-A2, Pub Date: July 15, 1999, Botstein D. et al.        |             |   |              |                                  |                          |                          |
|   | Dayhoff, Accession No.: P_AAB88431, EP 1067182-A2, Pub Date: January 10, 2001, Ota, T. et al.         |             |   |              |                                  |                          |                          |
|   | Dayhoff, Accession No.: P_AAB43140, WO 200058473-A2, Pub Date: October 5, 2000, Shimkets, R.A. et al. |             |   |              |                                  |                          |                          |
|   | Dayhoff, Accession No.: CAC39795.1, EP 1067182-A2, Pub Date: January 10, 2001, Ota, T. et al.         |             |   |              |                                  |                          |                          |
| ↓   | Dayhoff, Accession No.: AAD45885.1, Direct Submission, Submitted: April 16, 1999, Rolls, M.M. et al.  |             |   |              |                                  |                          |                          |
|   |   |             |   |              |                                  |                          |                          |
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| <b>EXAMINER</b> <i>E. Hemmer</i>  |   |             | <b>DATE CONSIDERED</b> <i>9/13/04</i>       |              |                                  |                          |                          |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|   |   |   |         |                                  |          |   |
|---|---|---|---------|----------------------------------|----------|---|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT</b><br><br><b>PTO-1449</b>            |   | <b>ATTY. DOCKET NO.:</b><br>39780-2730P1C14 |         | <b>SERIAL NO.:</b><br>09/990,436 |          |   |
|   |   | <b>APPLICANT :</b> Avi Ashkenazi, et al.    |         |                                  |          |   |
|   |   | <b>FILING DATE:</b> November 14, 2001       |         | <b>GROUP:</b> 1646               |          |   |
| <b>U.S. PATENT DOCUMENTS</b>  |   |   |         |                                  |          |   |
| EXAMINER'S<br>INITIALS  | PATENT NO.  | DATE  | NAME    | CLASS                            | SUBCLASS | FILING DATE                                       |
|   |   |   |         |                                  |          |   |
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| <b>FOREIGN PATENT DOCUMENTS</b>   |   |   |         |                                  |          |   |
| EXAMINER'S<br>INITIALS  | PATENT NO.  | DATE  | COUNTRY | CLASS                            | SUBCLASS | TRANSLATION                                       |
|   |   |   |         |                                  |          | YES   NO  |
|   |   |   |         |                                  |          | <input type="checkbox"/> <input type="checkbox"/> |
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| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b> |   |   |         |                                  |          |   |
| EWK   | Hanna, J.S., et al., "HER-2/neu Breat Cancer Predictive Testing", Oathology Associates Medical Laboratores, August (1999).  |   |         |                                  |          |   |
|   | Hyman, Elizabeth, et al., "Impact of DNA Amplification on Gene Expression Patterns in Breast Cancer <sup>1,2</sup> ", <i>Cancer Research</i> 62 6240-6245, November (2002).   |   |         |                                  |          |   |
|   | Orntoft, Torben F., et al., "Genome-wide Study of Gene Copy Numbers, Transcripts, and Protein Levels in Pairs of Non-Invasive and Invasive Human Transitional Cell Carcinomas", <i>Molecular &amp; Cellular Proteomics</i> 1:37-45, (2002). |   |         |                                  |          |   |
|   | Pollack, Jonathan R., et al., "Microarray analysis reveals a major direct role of DNA copy number alteration in the transcriptional program of human breast tumors", <i>PNAS</i> Vol.99, 20:12963-12968, October (2002).                    |   |         |                                  |          |   |
|   | GenBank, Accession No.: P_AAX87264, WO 9935170-A2, Pub Date: July 15, 1999, Botstein, D. et al.   |   |         |                                  |          |   |
|   | GenBank, Accession No.: P_AAZ65021, WO 9963088-A2, Pub Date: December 9, 1999, Baker, K. et al.   |   |         |                                  |          |   |
|   | GenBank, Accession No.: P_AAF44167, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, A.J. et al.   |   |         |                                  |          |   |
|   | GenBank, Accession No.: P_AAA46930, WO 200037640-A2, Pub Date: June 29, 2000, Botstein, D. et al.   |   |         |                                  |          |   |
|   | GenBank, Accession No.: P_AAC77349, WO 200058473-A2, Pub Date: October 5, 2000, Shimkets, R.A. et al.   |   |         |                                  |          |   |
|   | GenBank, Accession No.: P_AAF93858, EP 1067182-A2, Pub Date: January 10, 2001, Ota, T. et al.   |   |         |                                  |          |   |
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|   | Dayhoff, Accession No.: P_AAB65207, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, A.J. et al.   |   |         |                                  |          |   |
| <b>EXAMINER</b> E. Kemmer   |   | <b>DATE CONSIDERED</b> 9/13/04              |         |                                  |          |   |

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